As predicted and more: modulated channel occupation in YZn$_{5+x}$

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Like many complex intermetallic phases, the crystal structures of REZn$_{5+x}$ compounds (RE = lanthanide or Group 3 element) based on the EuMg$_5$ type have gradually unfolded. The original reports described a complex hexagonal structure with an unusual combination of tetrahedrally close-packed regions and open spaces, as well as observations of superstructure reflections. More recently, we reinvestigated the structure of YZn$_5$, reclassifying it as the EuMg$_{5+x}$-type compound YZn$_{5+x}$ ($x \approx 0.2$), in which disordered channels run along c through the spaces formerly considered open. In addition, DFT-chemical pressure (DFT-CP) analysis of ordered models of YZn$_{5+x}$ highlighted paths for communication between neighboring channels setting the stage for superstructure formation. Herein, the experimental elucidation of this effect is presented with the synthesis and structure determination of a modulated form of YZn$_{5+x}$. By slow-cooling samples of YZn$_{5+x}$ from the annealing temperature, crystals were obtained that exhibit satellite reflections with the modulation wavevector $q = 1/3a^* + 1/3b^* + 0.3041c^*$. Structure solution and refinement using a (3+1)D model in superspace group $P\bar{3}1c(1\bar{4}2\bar{1}c)00\bar{r}$ reveals incommensurate order in the structure’s channels. Here, two Zn sites associated with the channels are present, each with discontinuous atomic domains that are slanted in the $x_3x_4$ plane. Their slanting corresponds to adjustments along the c axis for the presence or absence of close neighbors along that axis, while the occupation patterns of neighboring channels are shifted by $1/3$ of the modulation period. These features follow earlier predictions from CP analysis, highlighting how this approach can be used predictively in search of new phenomena.

1. Introduction

When encountering disorder in a newly solved crystal structure, one faces several questions. Could an ordered superstructure be obtainable under different conditions or through variations in the crystallization or synthesis methods (Etzkorn et al., 2007; Lidin et al., 2009; Okamoto et al., 2017; Becker & Leineweber, 2018)? Or may a superstructure be present, but obscured by the balanced twinning of domains related by pseudosymmetry (Feuerbacher et al., 2007)? Does the randomness suggested by the crystallographic model belie local order that is just not pronounced enough to be detectable as diffuse scattering in the data available (Frey, 1995; Larsson et al., 1996)? Together, such possibilities relate to the origins of the apparent disorder in frustration or near degeneracy (Keen & Goodwin, 2015), and the potential ways in which the occupancies or positions of atoms in one region of disorder impacts the preferences of others. Over the past ten years, the DFT-chemical pressure (CP) analysis (Hilleke & Fredrickson, 2018; Lu et al., 2021) has emerged as a theoretical approach to analyze these effects, as illustrated by its ability to reveal paths of soft atomic motion underlying the channel-like
structures of the Nowotny Chimney Ladders (Lu & Fredrickson, 2019) and Fe₄Al₅ (Vinokur et al., 2019), as well as the packing issues faced by the disordered polyhedra in the quasicrystal approximant Ca₄Cd₆ (Berns & Fredrickson, 2013) and Fe₄Pd₁₇Al₆₉ (Peterson et al., 2020). More recently, this approach has been applied in a predictive fashion, in which CP analysis on models of the disordered EuMg₅₊₋₁ₓ—one compound  

Several REZn₅ compounds (RE = lanthanide or Group 3 transition metal) were originally reported by Fornasini and coworkers as adopting the EuMg₅ type (Mühlpfordt, 1970), with the observation that some crystals exhibited superstructure reflections corresponding to \(\sqrt{3} \times \sqrt{3} \times 3\) or \(\sqrt{3} \times \sqrt{3} \times 3\times 6\) supercells (Bruzzone et al., 1970; Fornasini, 1971). Intrigued by the structural relationship of these phases to the family of CP-driven variations of the CaCu₅ type (Berns & Fredrickson, 2014), as well as unusual open void spaces that appear in them, we recently reinvestigated the crystal structure of YZn₅ as a representative example (Fredrickson et al., 2022). Our structure solution revealed columns of disordered Zn atoms running along the hexagonal \(c\) axis [Fig. 1(a)], placing this compound in the EuMg₅₊₋₁ₓ type (Erassme & Lueken, 1987; Mühlpfordt, 1997), a disordered variant of the EuMg₅ type adopted not only in RE–Mg and RE–Zn systems but also observed in Ni- and Al-based intermetallics (Gladyshchevskii et al., 1993; Bohdana et al., 2020).

While the X-ray diffraction patterns of these crystals showed no indication of a superstructure, DFT-CP analysis on a series of ordered models pointed to a mechanism for the occupation pattern of one channel to influence those of its surroundings [Fig. 1(b)]: neighboring channels in the \(ab\) plane are bridged through tetrahedrally close-packed (tcp) units (blue), which are subject to different CP effects depending on whether the adjacent Zn sites in the channel are occupied or vacant. In both cases, the occupation or vacancy of the channel atom on both sides of the tcp unit leads to an accumulation of stress, which could be relieved through a staggering of the occupation pattern of neighboring columns. Based on this scheme, we proposed a superstructure pattern [Fig. 1(c)] in which Zn atoms are distributed in an ordered fashion over two basic Zn positions along each channel to give rise to a tripling of the \(c\) repeat vector, with the patterns of neighboring channels being shifted relative to each other by one subcell.

In this article, we experimentally demonstrate and elucidate the occurrence of channel ordering in YZn₅₊₋₁ₓ. Through a simple change in the temperature treatment in the synthetic procedure—allowing the reaction to slowly cool to ambient conditions from the annealing temperature (as opposed to quenching them in ice water)—crystals are obtained that exhibit both sharp diffraction peaks for the EuMg₅₊₋₁ₓ-type basic cell and additional reflections at intermediate points in reciprocal space. While the latter correspond approximately to the expected \(\sqrt{3} \times \sqrt{3} \times 3\) supercell, their small number and relative weakness make solution as a conventional structure challenging. As we will see below, the structure solution and

Figure 1
The disordered form of YZn₅₊₋₁ₓ \((x \approx 0.2)\) and its predicted superstructure (a) The disordered YZn₅₊₋₁ₓ structure is constructed with tetrahedrally close packed (tcp) units, Y triangles, and Y–Zn channels (yellow/red) that contain disordered Zn atoms (blue). (b) Selected CP features calculated for ordered models of YZn₅₊₋₁ₓ, shown for points where neighboring Zn channels are bridged by tcp units, with the in-plane Zn positions in the channel being filled (left) or vacant (right). Black lobes correspond to directions of negative CP (where contraction is preferred), while white lobes indicate positive CP (where expansion of the structure would be favorable). (c) The \(\sqrt{3} \times \sqrt{3} \times 3\) superstructure predicted from the CP analysis, viewed down \([001]\) with its basic cell outlined. Three colors are used for the channels to indicate the \(\Delta z\) super shifts for the Zn occupation patterns between neighboring columns: yellow for \(\Delta z = 0\), pink for \(\Delta z = \frac{1}{3}\), and green for \(\Delta z = \frac{2}{3}\).
refinement proceeded more smoothly using the (3+1)D superspace approach (Janner & Janssen, 1980a,b; van Smaalen, 2007), in which the additional reflections are indexed as satellites with \( \mathbf{q} \)-vector \( \mathbf{q} = \sigma a^* + \sigma b^* + \sigma c^* = \mathbf{a}^* + \mathbf{b}^* + 0.3041 \mathbf{c}^* \), and the structure is modeled as periodic in (3+1)D space with the additional dimension corresponding to the phase of a modulation function. The emerging crystal structure model confirms the general ordering pattern predicted by CP analysis, highlighting the predictive potential of CP-based models of structural effects.

2. Experimental

2.1. Synthesis

In our synthetic investigation of the Y–Zn system, elemental Y (Strem Chemicals, 99.9%) and Zn (Alfa Aesar, 99.9%) were used as starting materials. The elements were weighed out in the stoichiometric molar ratio of Y:Zn = 1:5 in an Ar-filled glove box, pressed into a pellet, and then placed into a fused silica tube, which was then evacuated and sealed. The sample was heated to 1100°C for 24 h to achieve a homogeneous melt, then annealed at 500°C for 16 h to allow for crystal growth, and finally cooled slowly to ambient temperature at a rate of 10°C h\(^{-1}\).

2.2. Single crystal X-ray diffraction analysis

Single crystal X-ray diffraction data for YZn\(_{5+x}\) \((x = 0.217)\) were collected on an Oxford Diffraction Xcalibur E diffractometer using graphite monochromated Mo Kα radiation \((\lambda = 0.71073 \, \text{Å})\) at ambient temperature. The collection and processing of the data set were performed using the CrysAlis Pro (v. 171.42.49; Rigaku Oxford Diffraction, 2022) software. The structure was solved with the charge flipping algorithm (Oszlányi & Sütő, 2004, 2005; Palatinus, 2004) using the program SUPERFLIP (Palatinus & Chapuis, 2007) and refined on \( F^2 \) using the program JANA2006 (Petříček et al., 2014). Further details regarding the refinements are given in Table 1 and the supporting information. The refined atomic coordinates and atomic displacement parameters for the basic structure are given in Tables 2 and 3, respectively.

2.3. Powder X-ray diffraction analysis

Phase analysis of the sample was performed using powder X-ray diffraction measurements. The sample was ground to a fine powder and placed on a zero-background plate. Diffraction intensities were measured on a Bruker D8 Advance Powder Diffractometer fitted with a LYNXEYE detector, using Cu Kα radiation \((\lambda = 1.5418 \, \text{Å})\) at ambient temperature. An exposure time of 1.0 s per 0.010° increment was used over the 2θ range 30–80°.

2.4. Wavelength-dispersive X-ray spectroscopy

To determine the elemental composition of YZn\(_{5+x}\), wavelength dispersive X-ray spectroscopy (WDS) was performed on a sample whose powder X-ray diffraction pattern showed this to be the major phase. To prepare the sample for WDS measurements, a small amount of material was suspended in a conductive epoxy at one end of a short segment of an aluminium tube. Once the epoxy had hardened, the sample was carbon-coated. WDS measurements guided by back-scattered electron images were taken with a Cameca SX-Five FE-EMPA Microprobe, using Y\(_2\)O\(_3\), elemental Zn, elemental Si, and ZnO as standards for the Y La, Zn Kα, Si Kα, and O Kα transitions, respectively.

3. Results and discussion

3.1. Synthesis of incommensurately modulated YZn\(_{5+x}\) crystals

In our earlier investigation of the disordered YZn\(_{5+x}\) \((x \approx 0.2)\) structure, we uncovered channels of disordered Zn atoms running along the c axis, making this compound a member of the EuMg\(_{5+x}\) type (Fredrickson et al., 2022). We also noted, however, that a mechanism exists for the
communication between the occupants of neighboring channels, opening a path to a potential ordered superstructure. Based on these interactions, we proposed a model for a $\sqrt{3} \times \sqrt{3} \times 3$ superstructure. In our attempt to synthetically realize this superstructure, we carried out a modified version of our synthetic procedure. As before we pressed mixtures of the elements into pellets, brought them to a melt at 1100 °C, and held them at 500 °C for 24 h and then quenched the samples from the annealing temperature. Altogether, though, the powder X-ray diffraction data collected for a sample from which we picked the single crystal of $\text{YZn}_{5+4}$ ($x = 0.217$) is presented in Fig. 2. All the major peaks are indexed to the $\text{YZn}_{5+4}$ structure by reference to the single crystal data described below. Some minor peaks are assigned to a secondary phase, $\text{Y}_3\text{Zn}_{17}$, as well as others that appear to arise from an unknown, third phase. These results are consistent with metallographic analysis of a polished sample with back-scattered electron (BSE) imaging and WDS. BSE revealed two prominent phases in the sample: one appearing lighter, the other darker. The composition for the first of these was determined to be $\text{YZn}_{5.26(13)}$ from an average over 43 points, which corresponds closely with that expected for $\text{YZn}_{5+4}$. The second phase has the composition $\text{Y}_2\text{Zn}_{16.81(8)}$ (average over 30 points); this is assigned as the compound $\text{Y}_3\text{Zn}_{17}$. Needle-like domains of a third, minor phase with approximate composition $\text{Y}_{2+3}\text{SiO}_6$ were also occasionally observed, which apparently results from a reaction with $\text{SiO}_2$ from the fused silica ampoule. Altogether, though, the powder X-ray diffraction pattern and WDS analysis indicates the $\text{YZn}_{5+4}$ is a major phase in the reaction product.

3.2. Analysis of diffraction pattern and symmetry

Crystals picked from these reaction products exhibit more complex diffraction patterns [Fig. 3(a)] than those obtained from quenching the samples from the annealing temperature. The strongest reflections can be indexed to a hexagonal cell, corresponding to the $\text{EuMg}_{5+2}\text{SiO}_6$-type basic structure, with dimensions $a = 8.8811$ (1) Å and $c = 9.2057$ (1) Å. Additional weaker reflections are present in the spaces between these main peaks, as is evident in the reconstruction of the $(hhl)$ layer of reciprocal space (Fig. 3). These new reflections correspond approximately to a $\sqrt{3} \times \sqrt{3} \times 3$ supercell, but the distribution of their intensities is highly organized. They trace out rectangles, with the closest reciprocal lattice points of the basic cell being the systematically absent $l = odd$ positions (e.g. $hhl = 113, 223$ etc.). A careful investigation for the positions of the superstructure reflections reveals that they are all separated from an absent main reflection by one of two vectors: $q = \frac{1}{3}a^* + \frac{1}{3}b^* + 0.3041c^*$ or $q' = -\frac{1}{3}a^* - \frac{1}{3}b^* + 0.3041c^*$, as shown in Figs. 3(b) and 3(c), each of which creates a pattern with trigonal symmetry. No other reflections associated with a supercell are evident, suggesting that a superspace approach that considers the additional peaks to arise from modulations

### Table 2

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<tr>
<th>Site</th>
<th>Multiplicity</th>
<th>$x$</th>
<th>$y$</th>
<th>$z$</th>
<th>$U_{	ext{eq}}$</th>
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<td>0.41030 (6)</td>
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### Table 3

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<td>0.013 (2)</td>
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Figure 2

Powder X-ray diffraction pattern of the modulated form of $\text{YZn}_{5+4}$ (radiation: Cu $K\alpha$). Top: the experimental data plotted in a 2$\theta$ versus intensity form. Bottom: filmstrip style representation of the experimental data along with the simulated patterns for $\text{YZn}_{5+4}$ and $\text{Y}_2\text{Zn}_{17}$. 

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will be the most efficient route to solving the structure. In particular, a (3+1)D model (Smaalen, 2007) can be created when we assign \( q \) and \( q' \) as belonging to separate twin domains, which are related by a sixfold rotation around \( e^* \), inline with the hexagonal symmetry of the EuMg\(_{\text{5+x}}\) type basic structure. While there are several multiples of 60° that could be used to generate these twin domains, the threefold symmetry of the individuals will lead to only two symmetry-distinct crystal orientations.

In this approach, the experimental diffraction pattern is interpreted as the projection on 3D of a (3+1)D array of reflections, with the satellites having a component along the fourth dimension. The corresponding real space construction is a periodic structure in (3+1)D from which the physical incommensurately modulated structure is obtained by taking 3D cross-sections. The direction perpendicular to the physical \( \text{fourth dimension} \) is given by the \( \mathbf{a}_4 \) axis, which represents the superspace lattice vector for the modulation function, while the \( \mathbf{a}_1, \mathbf{a}_2 \) and \( \mathbf{a}_3 \) axes are counterparts to the physical \( \mathbf{a}, \mathbf{b} \) and \( \mathbf{c} \) axes. They are, however, tilted out of the physical space axes in accordance with how much the phase of the modulation function changes for translations along the \( \mathbf{a}, \mathbf{b} \) and \( \mathbf{c} \) repeat vectors of the basic cell.

A first step in building such a model is the assignment of the structure’s (3+1)D superspace group. The \( \mathbf{q} \)-vector \( \mathbf{q} = \frac{1}{3} \mathbf{a}^* + \frac{1}{3} \mathbf{b}^* + 0.3041 \mathbf{c}^* \) gives rise to a trigonal arrangement of satellite reflections, which is incompatible with the hexagonal space group \( P6/mmc \) of the EuMg\(_{\text{5+x}}\) type. In terms of potential trigonal subgroups of \( P6/mmc \), we observe that the systematic absence condition associated with the \( c \) glide in \( P6/mmc, \text{hh}l; l = 2n \), still applies to the main reflections (Fig. 3), suggesting that the superspace group of the modulated structure is based on the \( P6/mmc \) subgroup \( P31c \). When we consider the full set of reflections, it is notable that the satellites only appear in the layer when \( l \) is odd, pointing to the condition \( \text{hh}lm: l+m = 2n \). In terms of the symmetry operations of the (3+1)D superspace group, this corresponds to the \( c \) glide operation in 3D space being accompanied by a shift in the phase of the modulation (\( x_4 \)) by \( \frac{1}{2} \). Altogether, these considerations indicate that the highest possible superspace group is \( P31c(\frac{1}{2} \tilde{x} \sigma_3)00s \).

3.3. Structure solution and refinement

In the structure solution process, the charge flipping algorithm using the program \textit{Superflip} converged on a (3+1)D electron density corresponding to the experimental diffraction intensities. The automated symmetry search and peak analysis of this electron density map agreed with the assignment of the superspace group as \( P31c(\frac{1}{2} \tilde{x} \sigma_3)00s \), and yielded the basic framework of the EuMg\(_{\text{5+x}}\)-type basic structure. In addition, two atomic domains associated with the disordered channels in the EuMg\(_{\text{5+x}}\) type were identified, which we label Zn5 and Zn6.

The electron density features for the Zn5 and Zn6 sites show strong modulations with both occupational and positional components [Figs. 4(a) and 4(b)]. For the Zn5 site, the electron density contours in the \( x_3x_4 \) section trace out nearly linear domains centered at \( x_4 = 0.5 \) that are slanted to give a negative slope, and end on discontinuities near \( x_4 = 0.0 \) and 1.0. Similar features are found for the Zn6 site, except that its domain is centered at \( x_4 = 0.75 \), and its length along \( x_4 \) is shorter, with the width \( \Delta x_4 \) being about \( \frac{1}{2} \). To model the discontinuous nature of these domains, we used crenel functions (Petríček et al., 2016) centered at \( x_4 = 0.5 \) and \( x_4 = 0.75 \) for Zn5 and Zn6 respectively, with the corresponding \( \Delta x_4 \) values initially being set to 1.0 and \( \frac{3}{2} \), where the latter is a close rational approximant to \( \frac{1}{2} + \frac{1}{3} \sigma_3 \). The \( \Delta x_4 \) width for Zn6 needed to match the ends of the Zn5 and Zn6 domains in the physical cross-sections; see the supporting information). This is convenient as \( \sigma_3 \) varies from crystal to crystal (in the range of 0.304 to \( \sim 0.331 \)). In addition, first order positional modulations (based on Legendre polynomials tailored to the \( \Delta x_4 \) width of the domain) were applied to allow the atomic domains to slant in the \( x_3x_4 \) plane.
After setting these modulation functions for the Zn5 and Zn6 sites, in addition to first order harmonic positional waves on the remaining atoms, the refinement led quickly to a drop in the $R$ factors for the satellite reflections. However, additional corrections were needed for a successful refinement. First, a twin component was included whose reciprocal lattice translations invariably refined to approximately 0.75, regardless of which individual is integrated. As the diffraction patterns for the individuals overlap only on the main reflections, this suggested that the main reflections are systematically too strong relative to the satellites. Interpreting this as arising from disordered domains in the crystal, we used separate scale factors for the main and satellite reflections. Upon doing so, the twin fractions converged to 0.50, within two times the standard uncertainty, indicating that the crystal has balanced twinning.

In the final model, all the atoms are refined anisotropically, and first order modulations were applied to the harmonic atomic displacement parameters for all atoms but Zn6, with its relatively short and discontinuous domains. In addition, the $\Delta x_y$ widths for Zn5 and Zn6 were refined with the restraint that the edges of their atomic domains are aligned for clean transitions in the physical cross-sections, as in $\Delta x_y[Zn6] = \frac{1}{2} \sigma_3 + (1 - \Delta x_y[Zn5])$, yielding values of 0.988 (3) and 0.664 (4), respectively. The refined model positions for the Zn5 and Zn6 sites are compared to the Fourier electron density contours in Figs. 4(c) and 4(d). In both cases, the model consists of slanted lines that align closely with the density features.

3.4. Structural interpretation of the modulated model

The nature of the modulation pattern is simple to interpret in terms of the disordered channels of the EuMg$_{5+x}$-type form of YZn$_{6+x}$ described previously [Fig. 1(a)] and the (3+1)D Fourier electron densities for the corresponding atomic sites in the current structure. The Y and Zn1–Zn4 sites define the host structure of the EuMg$_{5+x}$ type, and their electron density features are well described by harmonic functions with relatively small amplitudes (see Fig. S2 in the supporting information). By contrast, the Zn5 and Zn6 sites corresponding to the occupants of the channels have discontinuous atomic domains, indicating much stronger modulations. The Zn5/Zn6 sites can thus be seen as the major drivers of the modulation, with the positional displacements of the remaining atoms serving as adjustments to accommodate the resulting changes in their local environments.

The structural consequences of the Zn5/Zn6 site modulations become clearer when looking at a larger cross-section for the $(x_3, x_4)$ plane containing them (Fig. 5). Zn5 and Zn6 domains alternate as we move from left to right on the physical axis, corresponding to the centers of the flattened octahedra and trigonal prisms whose alternation builds up the channel walls. While the Zn5 and Zn6 sites are made distinct by their association with these two different polyhedra, their domains show a high degree of correlation: their electron density features are both slanted from upper left to lower right, and following one domain down this slope brings one to right to the tip of the next atomic domain, on the other side of a small gap.

In fact, if one were to shrink these gaps, the Zn5 and Zn6 domains would coalesce into diagonal stripes that run with a slant relative to the $a_x$ axis. Such a continuous slanted domain would encode a series of equally spaced Zn atoms whose spacing in physical space is mismatched with respect to the remainder of the structure (and determined by the angle of the slant). The high degree to which this pattern approximates a series of parallel diagonally oriented lines reveals that the Zn atoms in the channel have moved towards an equal spacing that is independent of the host lattice, as in a composite...
structure (Yamamoto, 1993; Rohrer et al., 2000; Sun et al., 2007). Indeed, the structure can be formally expressed as a composite structure in which the Zn6/Zn5 atoms occupying the channels are described as having their own lattice vector, \( \mathbf{c}_{\text{guest}} \), which is incommensurate to that of the host structure, \( \mathbf{c}_{\text{host}} \), with \( \mathbf{c}_{\text{guest}} = \mathbf{c}_{\text{host}}/(3 + \sigma_3) \). To perform refinements within this composite formalism, one first transforms the cell to a \( \sqrt{3} \times \sqrt{3} \times 1 \) supercell (to obtain an axial \( \mathbf{q} \) vector) and then assigns the reciprocal basis vectors of the guest composite part (the channel occupants) as \( \mathbf{c}_{\text{guest}}^* = 3\mathbf{c}_{\text{host}}^* + \mathbf{q}_{\text{host}} \) and \( \mathbf{q}_{\text{guest}}^* = 2\mathbf{c}_{\text{host}}^* \). This corresponds to the following transformation matrix connecting the \( hklm \) indices of each reflection in terms of the host and guest composite parts:

\[
W = \begin{pmatrix}
1 & 0 & 0 & 0 \\
0 & 1 & 0 & 0 \\
0 & 0 & 3 & 2 \\
0 & 0 & 1 & 0
\end{pmatrix}
\]

When implemented, this provides the channel occupants with their own axis in the \( (3+1) \)D model. However, two crenel functions are still needed, representing different scenarios for the tricapped trigonal prismatic and flattened octahedral holes in the channels, and the overall \( R \) factors are quite similar to those obtained above.

While a composite model would normally suggest that all points along the host cell’s channels can be populated by guest atoms, the gaps between the Zn5 and Zn6 domains suggest that certain positions are not suitable. In fact, the gaps correspond approximately in physical space to the centers of flattened \( \text{Zn}_3\text{Y}_3 \) octahedra that lie on opposite sides of the Zn6 sites. An explanation for these gaps can be discerned in the somewhat deeper slope of the Zn6 atomic domains than for the Zn5 atoms. The higher verticality here corresponds to a tighter localization around the average Zn6 position, indicating a preference for the center of the tricapped trigonal prism, where the Y–Zn contacts are shorter.

The features we have discussed so far explain the component of the modulation along the \( c \) axis of the EuMgS\(_{5+x} \) type. The full \( \mathbf{q} \) vector, \( \mathbf{q} = \frac{1}{3}\mathbf{a}^* + \frac{1}{9}\mathbf{b}^* + 0.3041\mathbf{c}^* \), also contains components along the perpendicular directions \( \mathbf{a}^* \) and \( \mathbf{b}^* \), indicating that the occupation patterns of neighboring channels differ from each other. In the \( (3+1) \)D model, the channels are translationally related by steps of \( \Delta x_1 = \pm 1 \) and \( \Delta x_2 = \pm 1 \). In this construction, the non-zero components of \( \sigma_1 \) and \( \sigma_2 \) are reflected in the tilting of the \( \mathbf{a}_{1x} \) and \( \mathbf{a}_{2x} \) axes off the physical \( \mathbf{a} \) and \( \mathbf{b} \) axes, such that points along these axes are given by \((x_1, 0, 0, +\sigma_2 x_1 + t)\) and \((0, x_2, 0, +\sigma_2 x_2 + t)\), respectively, where \( t \) is the intercept with the \( \mathbf{a}_{3z} \) axis chosen when making the 3D physical cross-section (and is arbitrary in the case of an incommensurate structure). Since \( \sigma_1 \) and \( \sigma_2 \) are both equal to \( \frac{1}{3} \) [as required by the superspace group \( P31c(\frac{1}{11}\sigma_1)00s \)], moving from one channel to one of its neighbors along \( \mathbf{a}_{1x} \) or \( \mathbf{b}_{1x} \) results in a shift of the modulation phase by \( \frac{1}{3} \). These shifts are evident in the electron density maps for the 3D cross-section [\( t \)-maps, Fig. 5(c)], where the Zn6 vacancies in neighboring channels are offset from each other by steps of \( \frac{1}{3} \) of the spacing between the vacancies along the channel.

This picture can be compared to the \( \sqrt{3} \times \sqrt{3} \times 3 \) superstructure predicted previously from tracing paths of chemical pressure between channels through the tcp units. In this model, every third Zn6 site is vacant within each channel, creating a threefold superstructure along \( c \). The occupation patterns of neighboring channels are connected through rhombohedral centering, with the primitive cell vectors being \( \mathbf{a}_{\text{prim}} = \mathbf{a}_{\text{basic}} + \mathbf{c}_{\text{basic}}, \mathbf{b}_{\text{prim}} = \mathbf{b}_{\text{basic}} + \mathbf{c}_{\text{basic}} \), and \( \mathbf{c}_{\text{prim}} = -\mathbf{a}_{\text{basic}} - \mathbf{b}_{\text{basic}} + 2\mathbf{c}_{\text{basic}} \). Transforming this arrangement to the hexagonal setting gives rise to the \( \sqrt{3} \times \sqrt{3} \times 3 \) supercell. In Fig. 6, we plot the 3D structure of the incommensurately modulated

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**Figure 5**

Connection between the \( (3+1) \)D Fourier electron density and structural features in physical space. (a) Fourier electron density contours corresponding to the Zn5 and Zn6 sites in an \( x_3x_4 \) section. (b) The corresponding modulated channel containing Zn5 and Zn6 atoms in physical space. (c) Modulated Zn5 and Zn6 sites in physical space laid over the Fourier electron density map of the \( x_2 \) plane of a 3D density constructed from the \( (3+1) \)D model \( (t \)-section). Such sections can appear somewhat messy due to the density features being broader than the model’s atomic domains. The \( x_3 \) offset of the cross-section off of the origin in \( (3+1) \)D space has been adjusted \((t = 0.16)\) to reveal well separated density peaks along the channel.Vacant Zn6 positions are denoted by red dotted circles.
form of $\text{YZn}_{5+x}$ generated from our (3+1)D model. First in Fig. 6(a), we show a view down $c$, color-coding the different channels according to the relative heights of the channel occupation patterns. In this case, the $a$ and $b$ vectors of the $\sqrt{3} \times \sqrt{3} \times 3$ supercell represent true crystallographic translations, labeled $a_{\text{mod}}$ and $b_{\text{mod}}$, due to the commensurability of the modulation along these directions. This corresponds to the predicted pattern in the $ab$ plane, as can be seen by comparison with Fig. 1(b).

Next, in Fig. 6(b), we examine the occupation patterns of neighboring channels in more detail. In each channel, every third Zn$6$ atom is missing in this portion of the structure (red dotted circles), but as these positions are not translationally equivalent points in the basic structure (the EuMg$_{5+}$-type unit cell has two Zn$6$ sites per cell along $c$) the overall pattern repeats every six Zn$6$ positions. The neighboring channels are shifted out of step by one Zn$6$ site, with the Zn$6$ coordination environments in the same orientation becoming shifted by $\frac{1}{3}$ of the approximate supercell vector along $c$. If the structure were to be commensurate, this would correspond in all respects to the predicted model.

The close approximation of $\sigma_3$ to $\frac{1}{3}$ raises the question of whether a commensurate model may be warranted. To answer this question, we attempted refinements in which $\sigma_3$ was set to $\frac{1}{3}$ and the structure was treated as commensurate in terms of the structure factor calculations. Regardless of which $t_0$ value was chosen, however, the $R$ factors for the model were unreasonably high [overall $R (I > 3\sigma) > 10.0$ versus 2.7 for the incommensurately modulated model]. The incommensurability of the phase is further supported by the observation that the $\sigma_3$ value obtained from the diffraction patterns of different crystals can vary from 0.304 to 0.331 (in fact, some slight splitting of the satellites into closely spaced pairs of $\sigma_3$ values can be detected in the lattice reconstructions). As such, the spacing of Zn atoms along the structure’s channels appears not to be locked into registry with the $c$ parameter of the host structure.

How, then, does the incommensurately modulated structure differ from the idealized threefold superstructure along $c$? As we mentioned above, the $\sigma_3$ value in the (3+1)D model controls the average spacing of the Zn atoms occupying the channels, $c_{\text{guest}}$, relative to the $c$ parameter of the host sublattice’s average structure, $c_{\text{host}}$, as in $c_{\text{guest}} = c_{\text{host}}(3+\sigma_3)$. For $\sigma_3 = \frac{1}{3}$, this gives rise to a commensurate arrangement with three $c_{\text{host}} = 10$ $c_{\text{guest}}$, i.e., ten Zn$5$/Zn$6$ atoms in a threefold supercell of the disordered EuMg$_{5+x}$-type structure, matching that of the originally predicted superstructure with composition $\text{YZn}_{5.225}$. For lower $\sigma_3$ values, the average spacing of the channel atoms increases, leading to a lower overall Zn content of $\text{YZn}_{5+(1+\sigma_3)/6}$, e.g., $\text{YZn}_{5.217}$ for $\sigma_3 = 0.3041$, with each atom in the channel locking into a nearby Zn$5$ or Zn$6$ atomic domain.

4. Conclusions

In our earlier analysis of EuMg$_{5+x}$-type $\text{YZn}_{5+x}$, we identified paths of chemical pressures between its disordered channels that point to a potential ordered superstructure. In this article, we have confirmed this picture experimentally, demonstrating that, through a change to the synthetic procedure, $\text{YZn}_{5+x}$ crystals with satellite reflections from channel order can be obtained. In this pattern, approximately every third Zn$6$ position within the channel is vacant, with the remaining occupants exhibiting displacements that serve to smooth out the spacing between them. Between neighboring channels, shifts in the pattern arise to stagger the positions of the vacancies, which we had predicted would minimize the accumulation of packing tensions along paths of inter-channel contacts. Rather than the expected $\sqrt{3} \times \sqrt{3} \times 3$ supercell of the EuMg$_{5+x}$ type, however, the pattern is incommensurate along the $c$ axis, as revealed by variability in the $\sigma_3$ parameter of the $q$ vector and the satellite intensity distributions.

These results contribute to a growing theme in the structural chemistry of intermetallics: the communication of

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Figure 6

Incommensurately modulated $\text{YZn}_{5+x}$ ($x = 0.217$) structure. (a) Overview of the structure viewed down [001]. (b) The modulated Zn channels with the one-third shift pattern (yellow, pink, and green). Vacant Zn$6$ positions are denoted by red dotted circles.
information between different regions of a crystal structure through paths of chemical pressures (CPs). For example, the remarkably large hysteresis in the cubic to tetragonal phase transition of PtGa2 was interpreted in terms of the tight coordination of atomic motion imposed by its CP scheme (Mitchell Warden et al., 2018). Similarly, the unusual, slanted domains of the trimer–monomer patterns in the incommensurately modulated IrSi structure (Mitchell Warden & Fredrickson, 2019), as well as the form of the low-temperature superstructure and high-temperature incommensurability in PdBi (Folkers et al., 2020), were traced to the domino-like propagation of atomic displacements that create emergent, long-range patterns. In these cases, the explanations for the detailed choreographies of atomic motions benefited from knowing the structural solution beforehand. With the example of YZn5+, we see more clearly how this approach can be used in a predictive fashion: beginning with CP schemes for a handful of ordered models, the Zn site occupancies in the average structure, and hints from the literature about the size of the expected supercell, an ordering pattern could be proposed, which could then be successfully realized synthetically.

An open challenge highlighted by this work is the question of whether such ordering patterns will adopt commensurate or incommensurate arrangements. Could the incommensurability in YZn5+ have been predicted ahead of time? In this case, the CP features offer clear indications of how the occupation patterns of neighboring channels are coupled, but give less guidance on the preferred sequence along the channels. Instead, our original model used experimental Zn occupancies in the average structure, and assumed the vacancies would be distributed at regular intervals. There is room within that scheme for these intervals to be mismatched from the period of the host structure, but there was not an obvious reason for this being advantageous. One potential hint at a driving force for more Zn6 occupancy is seen in the large positive CPs taken on by Zn5 sites neighboring a Zn6 vacancy, as they move in to smooth out the Zn–Zn distances along the channels. Incommensurability would allow the structure to tune the Zn6 vacancy concentration to balance the benefits of a sparser occupation of the channels with the energetic costs to the Zn5 sites neighboring the vacancies. It will be interesting to see whether theoretical models, based on CP analysis or other tools, can be developed to detect such situations where incommensurability provides an opportunity to balance competing factors.

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